

FORM PTO-1390 (REV 1-98)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER 81864.0067	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				U.S. APPLICATION NUMBER (if known, see 37 C.F.R. 1.5) 10/540345	
INTERNATIONAL APPLICATION NO. PCT/JP2004/000750		INTERNATIONAL FILING DATE 28 January 2004 (01/28/04)		PRIORITY DATE CLAIMED 28 January 2003 (01/28/03)	
TITLE OF INVENTION Hard Magnetic Compound, Permanent Magnet Powder, Method for Producing Permanent Magnet Powder, and Bonded Magnet					
APPLICANT(S) FOR DO/EO/US Atsushi SAKAMOTO, Makoto NAKANE, Hideki NAKAMURA and Akira FUKUNO					
<p>Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). 4. <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). <p>Items 11. to 16. below concern document(s) or information included:</p> <ol style="list-style-type: none"> 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98. 12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A FIRST preliminary amendment. <div style="margin-left: 20px;"> <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. </div> 14. <input type="checkbox"/> A substitute specification. 15. <input type="checkbox"/> A change of power of attorney and/or address letter. 16. <input type="checkbox"/> Other items or information: 					

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5) <div style="font-size: 2em; font-weight: bold; margin-top: 5px;">10/540345</div>		INTERNATIONAL APPLICATION NUMBER <div style="margin-top: 5px;">PCT/JP2004/000750</div>		ATTORNEY'S DOCKET NUMBER <div style="margin-top: 5px;">81864.0067</div>																															
17. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5)): Basic National Fee \$300 National Stage Search Fee \$500 National Stage Examination Fee \$200 <div style="text-align: right; margin-top: 10px;">TOTAL FEE AMOUNT =</div>				CALCULATIONS - PTO USE ONLY <div style="border: 1px solid black; height: 100px; margin-top: 5px;"></div>																															
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).				\$ 1000.00																															
<table border="1" style="width:100%; border-collapse: collapse;"><thead><tr><th style="width:15%;">CLAIMS</th><th style="width:15%;">NUMBER FILED</th><th style="width:15%;">NUMBER ALLOWED</th><th style="width:15%;">NUMBER EXTRA</th><th style="width:15%;">RATE</th></tr></thead><tbody><tr><td>Total claims</td><td style="text-align: center;">35</td><td style="text-align: center;">20</td><td style="text-align: center;">15</td><td style="text-align: center;">X \$50.00</td></tr><tr><td>Independent claims</td><td style="text-align: center;">7</td><td style="text-align: center;">3</td><td style="text-align: center;">4</td><td style="text-align: center;">X \$200.00</td></tr><tr><td colspan="4">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td><td style="text-align: center;">X \$360.00</td></tr><tr><td colspan="3">SIZE FEE <u>106</u> (TOTAL PAGES OF SPEC AND DRAWINGS TOGETHER)</td><td style="text-align: center;">\$250 for each additional 50 sheets</td><td style="text-align: center;">X \$250.00</td></tr><tr><td colspan="5" style="text-align: right;">TOTAL OF ABOVE CALCULATIONS =</td></tr></tbody></table>				CLAIMS	NUMBER FILED	NUMBER ALLOWED	NUMBER EXTRA	RATE	Total claims	35	20	15	X \$50.00	Independent claims	7	3	4	X \$200.00	MULTIPLE DEPENDENT CLAIM(S) (if applicable)				X \$360.00	SIZE FEE <u>106</u> (TOTAL PAGES OF SPEC AND DRAWINGS TOGETHER)			\$250 for each additional 50 sheets	X \$250.00	TOTAL OF ABOVE CALCULATIONS =					\$ 0	
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TOTAL OF ABOVE CALCULATIONS =																																			
<input type="checkbox"/> Applicant claims small entity status. See 37 C.F.R. § 1.27. The fees indicated above are reduced by 1/2.				750.00																															
SUBTOTAL =				800.00																															
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(f)).				360.00																															
TOTAL NATIONAL FEE =				250.00																															
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property +				3160.00																															
TOTAL FEES ENCLOSED =				\$ 40																															
\$				3200.00																															
				Amt. Refunded \$																															
				Amt. charged																															

a. ☒ Checks in the amounts of \$3160.00 and \$40.00 to cover the above fees are enclosed.

b. ☐ Please charge my Deposit Account No. 50-1314 in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1314. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.


SEND ALL CORRESPONDENCE TO:

☒ Customer Number

000026021

→

HOGAN & HARTSON L.L.P.
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SIGNATURE
Dariush G. Adli
REG.NO. 51,386
Express Mail Label No. EV 548 040 095 US
Date: June 21, 2005

Transmittal Letter to the United States Designated Office (DO/US)—Entry Into National Stage under 35 U.S.C. 371—PTO 1390 [13-7]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Atsushi SAKAMOTO et al.

Serial No: Not assigned

Filed: June 21, 2005

For: Hard Magnetic Compound, Permanent Magnet Powder,
Method for Producing Permanent Magnet Powder, and
Bonded Magnet

Art Unit: Not assigned

Examiner: Not assigned

CERTIFICATE OF MAILING VIA U.S. EXPRESS MAIL
"Express Mail" Mailing Label No. EV 548 040 095 US
Date of Deposit: June 21, 2005

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

I hereby certify that

- ☒ two copies of a letter of transmittal
- ☒ check in amount of \$ 3160.00 as filing fee, search fee and examination fee
- ☒ check in amount of \$ 40.00 as assignment recordation fee
- ☒ patent application (74 page(s) of specification; 35 claim(s); 1 page(s) of abstract
- ☒ 31 sheet(s) of formal drawings
- ☒ executed Declaration and Power of Attorney
- ☒ assignment of the invention to TDK CORPORATION
- ☒ Preliminary Amendment
- ☒ Information Disclosure Statement with 5 references
- ☒ return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 C.F.R. § 1.10 on the date indicated above and are addressed to:

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Date: June 21, 2005

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Christopher Bostrom

Name of person mailing papers


Signature